



**MAIL STOP PCT** IFW

Docket No. 294625US40PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Naomi ASAHARA, et al.

SERIAL NO: 10/588,513

GAU:

FILED: August 4, 2006

EXAMINER:

FOR: PHARMACEUTICAL FORMULATIONS COMPRISING PARAOXONASE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
294625US40PCTSERIAL NO.  
10/588,513

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Naomi ASAHARA, et al.FILING DATE  
August 4, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AM	2003 526610	09/09/2003	JP (with computer generated translation and equivalent of US 2003 0027759, US 6 391 298 and US 6 521 226)		NO
	AN	2003 342294	12/03/2003	JP (with English abstract)		NO
	AO	2001 515460	09/18/2001	JP (with computer generated translation and equivalent of US 5 925 738 and US 6 320 029)		NO
	AP	63 192723	08/10/1988	JP		NO
	AQ	03 097696	11/27/2003	WO (equivalent of US 2004 0038891)		NO
	AR	2000 109435	04/18/2000	JP (with English abstract)		NO
	AS					
	AT					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AU	KAREN N. GAN, et al., "PURIFICATION OF HUMAN SERUM PARAOXONASE/ARYLESTERASE EVIDENCE FOR ONE ESTERASE CATALYZING BOTH ACTIVITIES", DRUG METABOLISM AND DISPOSITION, Vol. 19, No. 1, pages 100-106, 1991.				
	AV	CLEMENT E. FURLONG, et al., "PURIFICATION OF RABBIT AND HUMAN SERUM PARAOXONASE", BIOCHEMISTRY, Vol. 30, No. 42, pages 10133-10140, 1991.				
	AW	DENIS JOSSE, et al., "OLIGOMERIC STATES OF THE DETERGENT-SOLUBILIZED HUMAN SERUM PARAOXONASE (PON 1)", THE JOURNAL OF BIOLOGICAL CHEMISTRY, Vol. 277, No. 36, pages 33386-33397, 2002.				
	AX	TAKAHIRO UENO, et al., "PARAOXONASE 1 POLYMORPHISM LEU-MET55 IS ASSOCIATED WITH CEREBRAL INFARCTION IN JAPANESE POPULATION", MED.SCI.MONIT., Vol. 9, No. 6, pages CR260-264, 2003.				
	AY	AIHUA WU, et al., "HIGH-DENSITY LIPOPROTEINS IN SEPSIS AND SEPTIC SHOCK: METABOLISM, ACTIONS, AND THERAPEUTIC APPLICATIONS", SHOCK, Vol. 21, No. 3, pages 210-221, 2004.				
	AZ					<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.